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THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

Asif Adatia

Application No.: 09/841,388

Group Art Unit: 3624

Filed: April 24, 2001

Examiner: T.T. Havan

For: AUTOMATED SECURITIES TRADE

EXECUTION SYSTEM AND METHOD

Attorney Docket No.:

GOL101.10011

I, John F. Letchford, Registration No. 33,328, certify that this correspondence is being deposited with the U.S. Postal Service as first class mail in an envelope addressed to the Mail Stop AF, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on January 17, 2006.

LETCHFORD

Mail Stop Non-Fee Amendment Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

## AMENDMENT/RESPONSE

In response to the Office Action dated November 3, 2005, Applicant respectfully requests entry of the amendments into the above-identified application. Each of the amendments finds full support in the claims, specification and/or drawings as filed.

Application No.: 09/841,388

Amendment Dated: January 17, 2006

Reply to Office Action Dated: November 3, 2005

Amendments to the Claims begin on page 3 of this paper.

Remarks/Arguments begin on page 8 of this paper.